

DR. D. Y. PATIL VIDYAPEETH, PIMPRI, PUNE

(Deemed to be University)

(Accredited (3rd Cycle) by NAAC with a CGPA of 3.64 on four point scale at 'A++' Grade) (Declared as Category - I University by UGC Under Graded Autonomy Regulations, 2018) (An ISO 9001:2015, ISO 14001:2015 Certified University)



ANTI-BRIBERY AND CORRUPTION POLICY





Dr. D. Y. PATIL VIDYAPEETH, PIMPRI, PUNE

(Deemed to be University)

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Prof. Dr. J. S. Bhawalkar Registrar

Ref. No.: DPU/ 186(a)-J(ii)/25

: 27 / 02 / 2025

NOTIFICATION

In pursuance of the resolution passed by the Executive Council at its meeting held on 18th December 2024, vide Resolution No. EC-55(ii) -24.

It is hereby notified for the information of all concerned that Dr. D. Y. Patil Vidyapeeth, Pimpri, Pune has published an "Anti-Bribery and Corruption Policy". This policy is designed to prevent and deter bribery and corruption, ensuring fair and ethical business practices. It includes specific rules and regulations regarding the offering or acceptance of gifts, hospitality, or any form of undue advantage.

The Anti-Bribery and Corruption Policy comprises of the following parts:

- 1. Objectives
- 2. Definitions
- 3. Policy Statement
- 4. Scope
- 5. Prohibited Conduct
- 6. Reporting Mechanism
- 7. Investigation and Disciplinary Actions

This policy will apply to all individuals associated with the Vidyapeeth and its Constituent Colleges/Institutes, including employees, faculty, students, contractors, vendors, and any third parties acting on behalf of the university.

The Anti-Bribery and Corruption Policy will serve as a detailed guideline and will be useful to all concerned. This will come into force with immediate effect.

> Dr. J. S. Bhawalkar) Registrar

Copy to;

of to be Uni 1. P.S. to Chancellor for the Kind information of Hon'ble Chancellor, Dr. D. Y. Patil Vidyapeeth Pune.

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- 2. P.S. to Vice Chancellor for the Kind information of Hon'ble Vice Chancellor, Dr. D.Y. Patil Vidyapeeth, Pune.
- P.S. to Pro Vice Chancellor for the Kind information of Hon'ble Pro Vice Chancellor, Dr. D.Y. Patil Vidyapeeth, 3.
- Director (IQAC), Dr. D.Y. Patil Vidyapeeth, Pune. 4.
- Director (Administration: Quality Assurance, Faculty Development & Research), Dr. D. Y. Patil Vidyapeeth, Pune 5.
- Director (Research), Dr. D.Y. Patil Vidyapeeth, Pune.
- Controller of Examinations, Dr. D.Y. Patil Vidyapeeth, Pune. 7.
- Finance Officer, Dr. D.Y. Patil Vidyapeeth, Pune.
- All the Heads of the Colleges / Institutes of DPU
- 10. Web master for uploading on DPU website.

Encl.: As above

WHEREAS Dr. D. Y. Patil Vidyapeeth, Pimpri, Pune popularly known as "DPU", managed by **Dr. D. Y. Patil Vidyapeeth Society, Pune,** was declared as "Deemed-to-be-University", **under Section 3 of UGC Act 1956** in 2003.

AND WHEREAS today the Vidyapeeth has 14 constituent institutions under its umbrella. All the institutions have the approval / recognition of the relevant statutory bodies to offer UG, PG Degree, Super-specialty and Ph.D. programmes in relevant and emerging disciplines, specializations and super specializations.

AND WHEREAS, as per the provisions of University Grants Commission (Institution Deemed to be University) Regulations, 2019 in Sub Clause Nos. 10.07 sub-sub clauses 10.07.1 and 10.07.2 provides that the Board of Management shall be the Principal Organ of Management and the Apex Executive Body of the Institution Deemed to be University, with powers to make rules and shall be the final decision-making body in respect of every matter including academic, administrative, personnel, financial, development matters of institution Deemed to be Universities.

AND WHEREAS, as per the provisions of University Grants Commission (Institution Deemed to be University) Regulations, 2023 Clause 11 and Sub Clause No. 11 (3) and 11 (4), Clause 13 and Sub Clause No. 13 (1) and 13 (2) of the Regulations provides that the Executive Council shall be the Principal Organ of Management and the Apex Executive Body of the Institution Deemed to be University, with powers to make rules and shall be the final decision making body in respect of every matter including academic, administrative, personnel, financial, development matters of institution Deemed to be University.

AND WHEREAS, in accordance with the Anti-Bribery and Anti-Corruption Law Prevention of Corruption Act, 1988 (India) to prevent and deter bribery and corruption, ensuring fair and ethical business practices, and often involve specific rules and regulations regarding offering or accepting gifts, hospitality, or any form of undue advantage.

Introduction:

Dr. D.Y. Patil Vidyapeeth (DPU) is committed to conducting its operations with the highest standards of integrity, transparency, and ethical behaviour. In line with this commitment, the university has adopted a zero-tolerance approach to bribery and corruption. This Anti-Bribery and Anti-Corruption Policy sets out the responsibilities of all stakeholders in preventing, detecting, and reporting bribery and corruption in any form.

This policy has been established in compliance with applicable laws and regulations, including the **Prevention of Corruption Act, 1988**, and other relevant legal frameworks. It applies to all individuals associated with DPU, including employees, faculty, students, contractors, vendors, and any third parties acting on behalf of the university.

Objective:

The primary objectives of this policy are to:

- Prevent and prohibit corruption in any form, including but not limited to bribery, embezzlement, fraud, kickbacks, and any misuse of authority or resources.
- Maintain a zero-tolerance approach to corruption and bribery, regardless of the rank or position of the individuals involved.
- Promote transparency and accountability in all financial transactions, procurement processes, and decision-making to minimize corruption risks.
- Conduct regular awareness and education programs to inform all stakeholders about the consequences of corruption and bribery and their role in preventing such practices.

Corruption:

Corruption refers to the abuse of entrusted power for personal or financial gain. It undermines institutional integrity, erodes public trust, and distorts decision-making processes. In the context of higher education, corruption can manifest through fraudulent academic practices, misappropriation of funds, favoritism in hiring or promotions, and misuse of university resources. Dr. D.Y. Patil Vidyapeeth remains committed to preventing and addressing all forms of corruption by promoting transparency, ethical conduct, and accountability.

Bribery:

Bribery involves offering, giving, receiving, or soliciting something of value as an inducement to influence actions or decisions. It can take various forms, including monetary payments, gifts, favors, or other advantages. Bribery undermines fair competition, compromises institutional decision-making, and damages the university's reputation. At DPU, any act of bribery, whether direct or indirect, is strictly prohibited. The university enforces robust measures to detect, prevent, and penalize bribery, fostering a culture of integrity and fairness.

Policy Statement:

Dr. D.Y. Patil Vidyapeeth (DPU) is committed to maintaining the highest standards of integrity, transparency, and ethical conduct. The university has a zero-tolerance policy towards bribery and corruption in all forms. Any act of offering, giving, soliciting, or accepting bribes, whether directly or indirectly, is strictly prohibited. DPU expects all individuals associated with the university to uphold ethical practices and comply with applicable laws and regulations.

Violations of this policy will result in strict disciplinary action, including legal consequences, as per the governing laws and institutional regulations. The university encourages the reporting of any suspected acts of bribery or corruption and assures protection against retaliation for those who report in good faith.

Scope:

This policy applies to:

- 1. **Employees and Staff**: All faculty members, administrative staff, and employees at all levels.
- 2. **Students**: All students enrolled in any academic program at DPU.
- 3. **Contractors and Vendors**: Individuals or entities providing goods or services to DPU.
- 4. **Affiliates and Partners**: Collaborating institutions, research partners, and other associated organizations.
- 5. **Third Parties**: Agents, consultants, and other representatives acting on behalf of DPU.

The scope of this policy covers all forms of bribery and corruption, including but not limited to:

- Offering, promising, giving, requesting, or receiving bribes in any form.
- Facilitating payments to expedite administrative procedures.
- Accepting gifts, hospitality, or favors in exchange for undue advantages.
- Engaging in fraudulent activities or misusing university resources for personal gain.
- Concealing or failing to report acts of bribery or corruption.

Prohibited Conduct:

The following conduct is strictly prohibited:

- Offering, soliciting, or accepting bribes, kickbacks, or any unlawful payments, whether monetary or non-monetary, to influence decisions or actions.
- Misusing university funds, resources, or authority for personal gain or benefit.
- Engaging in fraudulent practices or embezzlement of university funds or assets.
- Colluding with external entities for illicit gain or advantage.

Reporting Mechanism:

DPU encourages all stakeholders to report any suspected incidents of bribery, corruption, or unethical conduct through designated channels. The university has established a confidential reporting mechanism that ensures complaints are addressed promptly and fairly. Reports can be made anonymously, and every effort will be made to protect the identity of whistleblowers.

Investigation and Disciplinary Actions:

All reports of bribery and corruption will be thoroughly investigated by a designated committee. Appropriate disciplinary actions, including termination of employment, legal proceedings, or financial penalties, will be imposed on individuals found guilty of misconduct.

Protection of Whistleblowers:

DPU is committed to protecting whistleblowers who report violations in good faith. Retaliation, harassment, or adverse actions against whistleblowers will result in strict disciplinary measures against the responsible parties.

Partners and External Entities:

All external partners, vendors, and contractors engaging with DPU are expected to adhere to this policy. The university will conduct due diligence to ensure compliance with anti-bribery and anti-corruption standards.

CONCLUSION:

Dr. D.Y. Patil Vidyapeeth remains steadfast in its commitment to upholding the highest standards of integrity and ethical conduct. By fostering a culture of transparency, accountability, and fairness, the university aims to create an environment that is free from bribery and corruption. All members of the university community, including employees, students, and external stakeholders, play a crucial role in maintaining these values.

DPU recognizes that continuous vigilance, proactive reporting, and adherence to this policy are essential to prevent and combat corruption. Regular training, awareness programs, and monitoring mechanisms will further strengthen the university's efforts in this regard.

Through collective responsibility and commitment, Dr. D.Y. Patil Vidyapeeth will continue to set an example of ethical leadership and integrity, ensuring a fair and just academic and administrative environment for all.

Date of Implementation: 18/12/2024

SD Dr. J. S. Bhawalkar Registrar



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