



DR. D. Y. PATIL VIDYAPEETH, PIMPRI, PUNE

(Deemed to be University)

(Accredited (3rd Cycle) by NAAC with a CGPA of 3.64 on four point scale at 'A++' Grade)

(Declared as Category - I University by UGC Under Graded Autonomy Regulations, 2018)

(An ISO 9001:2015, ISO 14001:2015 Certified University)

SUSTAINABLE INVESTMENT POLICY

Date of Implementation: 18 December 2024



NOTIFICATION

In pursuance of the resolution passed by the **Executive Council** at its meeting held on **18th December 2024**, vide **Resolution No. EC-55(vi) -24**.

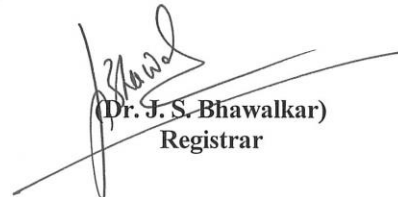
It is hereby notified for the information of all concerned that Dr. D. Y. Patil Vidyapeeth, Pimpri, Pune, has published a "**Sustainable Investment Policy**" to integrate environmental, social, and governance (ESG) considerations into its investment decisions. This policy serves as a framework for responsible financial management while contributing to the transition towards a sustainable economy.

The **Sustainable Investment Policy** comprises the following parts:

1. Objectives
2. Policy Statement
3. Policy Strategy
4. Scope
5. Resources and Responsibilities
6. Communication and Reporting
7. Implementation

The **Sustainable Investment Policy** will serve as a detailed guideline and will be useful to all concerned. This will come into force with immediate effect.




(Dr. J. S. Bhawalkar)
Registrar

Copy to;

1. P.S. to Chancellor for the Kind information of Hon'ble Chancellor, Dr. D. Y. Patil Vidyapeeth Pune.
2. P.S. to Vice Chancellor for the Kind information of Hon'ble Vice Chancellor, Dr. D.Y. Patil Vidyapeeth, Pune.
3. P.S. to Pro Vice Chancellor for the Kind information of Hon'ble Pro Vice Chancellor, Dr. D.Y. Patil Vidyapeeth, Pune.
4. Director (IQAC), Dr. D.Y. Patil Vidyapeeth, Pune.
5. Director (Administration: Quality Assurance, Faculty Development & Research), Dr. D. Y. Patil Vidyapeeth, Pune
6. Director (Research), Dr. D.Y. Patil Vidyapeeth, Pune.
7. Controller of Examinations, Dr. D.Y. Patil Vidyapeeth, Pune.
8. Finance Officer, Dr. D.Y. Patil Vidyapeeth, Pune.
9. All the Heads of the Colleges / Institutes of DPU
10. Web master for uploading on DPU website.

Encl.: As above

Sustainable Investment Policy

WHEREAS Dr. D. Y. Patil Vidyapeeth, Pimpri, Pune popularly known as "DPU", managed by **Dr. D. Y. Patil Vidyapeeth Society, Pune**, was declared as "Deemed-to-be-University", **under Section 3 of UGC Act 1956** in 2003.

AND WHEREAS today the Vidyapeeth has 14 constituent institutions under its umbrella. All the institutions have the approval / recognition of the relevant statutory bodies to offer UG, PG Degree, Super-specialty and Ph.D. programmes in relevant and emerging disciplines, specializations and super specializations.

AND WHEREAS, as per the provisions of University Grants Commission (Institution Deemed to be University) Regulations, 2019 in Sub Clause Nos. 10.07 sub-sub clauses 10.07.1 and 10.07.2 provides that the Board of Management shall be the Principal Organ of Management and the Apex Executive Body of the Institution Deemed to be University, with powers to make rules and shall be the final decision-making body in respect of every matter including academic, administrative, personnel, financial, development matters of institution Deemed to be Universities.

AND WHEREAS, as per the provisions of University Grants Commission (Institution Deemed to be University) Regulations, 2023 Clause 11 and Sub Clause No. 11 (3) and 11 (4), Clause 13 and Sub Clause No. 13 (1) and 13 (2) of the Regulations provides that the Executive Council shall be the Principal Organ of Management and the Apex Executive Body of the Institution Deemed to be University, with powers to make rules and shall be the final decision making body in respect of every matter including academic, administrative, personnel, financial, development matters of institution Deemed to be University.

AND WHEREAS, Dr. D. Y. Patil Vidyapeeth, Pune, shall implement sustainable investment policy regulations by embedding environmental, social, and governance (ESG) criteria into its investment decisions. The university will exclude investments in sectors like fossil fuels, tobacco, and weapons, while favoring entities that advance sustainability goals. These regulations align with national laws, such as the Environment Protection Act, 1986, and international standards like the United Nations Principles for Responsible Investment (UNPRI). Oversight by the Investment Committee and Sustainability Office ensures compliance, balancing financial returns with ESG priorities, with transparency maintained through an annual sustainability report.

Sustainable Investment Policy

Introduction:

Dr. D.Y. Patil Vidyapeeth (DPU) recognizes its responsibility to contribute to a sustainable future. As a leading academic institution, the Vidyapeeth is committed to integrating environmental, social, and governance (ESG) considerations into its investment decisions. This Sustainable Investment Policy serves as a framework to guide responsible financial management while supporting the transition towards a sustainable economy.

Objective:

The objective of this policy is to ensure that the Vidyapeeth's investments reflect its commitment to sustainability and ethical practices. By promoting ESG-compliant investments, the Vidyapeeth aims to:

- Generate long-term financial returns
- Mitigate financial risks associated with environmental and social factors
- Support companies and initiatives contributing to sustainable development

Policy Statement:

Dr. D.Y. Patil Vidyapeeth is dedicated to making investment decisions that align with its core values of social responsibility, environmental stewardship, and transparent governance. The Vidyapeeth shall prioritize investments in companies, projects, and funds that demonstrate a commitment to sustainable practices while excluding those with adverse impacts on the environment or society.

Policy Strategy:

To implement this policy, the Vidyapeeth will adopt the following strategies:

- **ESG Integration:** Incorporate ESG criteria into investment analysis and decision-making.
- **Exclusionary Screening:** Avoid investments in industries causing significant harm to society or the environment.
- **Impact Investing:** Proactively support projects with measurable social and environmental benefits.
- **Active Ownership:** Engage with investee companies to promote responsible business practices.
- **Regular Monitoring and Reporting:** Conduct periodic assessments to ensure compliance with the policy objectives.

Scope:

This policy applies to all financial investments managed by the Vidyapeeth, including:

- Endowment funds
- Equity investments
- Fixed income securities
- Real estate investments
- Alternative investments such as private equity and venture capital

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The policy shall also extend to collaborations and partnerships with external asset managers, requiring them to adhere to sustainable investment principles. Exceptions to the policy may be considered on a case-by-case basis, subject to approval by the Investment Committee.

Resources and Responsibilities:

- The **Investment Committee** will be responsible for implementing the sustainable investment policy and ensuring compliance.
- External advisors and financial consultants with expertise in ESG investments will provide necessary support.
- Regular training and capacity-building initiatives will be conducted to ensure that decision-makers are equipped with the knowledge to make responsible investment choices.

Communication and Reporting:

- Annual sustainability investment reports will be prepared and submitted to the Governing Board.
- Transparent disclosure of the Vidyapeeth's sustainable investment performance will be made available to stakeholders through the university's official website and reports.
- Regular stakeholder engagement will be encouraged to maintain transparency and build trust.

Review of Policy:

- The policy shall be reviewed periodically to ensure its effectiveness and alignment with emerging sustainability practices and legal regulations.
- Reviews will be conducted by the Investment Committee in consultation with external ESG experts.
- Any amendments to the policy shall be approved by the Vice-Chancellor.

Implementation:

- A phased approach will be adopted for implementing this policy, beginning with ESG assessment of current investments.
- Key milestones and performance indicators will be established to measure progress.
- Capacity-building initiatives will support effective policy implementation across all departments.

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CONCLUSION:

Dr. D.Y. Patil Vidyapeeth's Sustainable Investment Policy is a testament to its unwavering commitment to sustainability and ethical governance. By incorporating ESG principles into its financial decisions, the Vidyapeeth not only ensures long-term financial resilience but also actively contributes to the betterment of society and the environment. Through responsible investments, the Vidyapeeth supports initiatives that promote clean energy, social equity, and sustainable development.

This policy reflects the university's broader vision of nurturing a culture of environmental stewardship and social responsibility. It establishes a robust framework for responsible financial management, aligning the university's investment portfolio with its sustainability goals. Continuous engagement, transparency, and policy reviews will ensure the policy remains adaptive to the evolving landscape of sustainable finance.

Moving forward, Dr. D.Y. Patil Vidyapeeth remains committed to fostering sustainable growth through conscious investment choices. By collaborating with stakeholders and leveraging innovative financial solutions, the Vidyapeeth will continue to demonstrate leadership in sustainable investment practices, contributing to a greener and more equitable future.

Date of Implementation: 18/12/2024

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Dr. J. S. Bhawalkar
Registrar



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