

### Dr. D. Y. PATIL VIDYAPEETH, PUNE

(Deemed to be University)

(Re-accredited by NAAC with a CGPA of 3.62 on a four point scale at A Grade)
(ISO 9001 : 2015 Certified University)

Ref.: DPU / 732 / 2021

Date: 17th August, 2021

#### CERTIFICATE

This is to certify that the extracts of **Code of Conduct of the Employees** are attached herewith from the approved Bye-Laws Document of 2019 as follows:

Extracts of Code of Conduct of the Employees from the Bye-law No. 04/2015 from the amended and approved Bye-Laws (2019) (page Nos. 68 – 77) of the Vidyapeeth (approved by the Board of Management at its meeting held on 12<sup>th</sup> April, 2019 vide Resolution No. 17-19) of Dr. D. Y. Patil Vidyapeeth, Pune

The said Bye-laws (2019) Document is notified and published for all concerned by the Registrar of the Vidyapeeth with Notification page. The booklet is uploaded on the Vidyapeeth's website and are open in public domain.

Therefore, there is no separate attestation done by the Registrar on this booklet.

(Dr. A. N. Suryakar)

Registrar
Dr.D.Y.Patil Vidyapeeth
(Deemed to be University)

Pimpri, Pune- 18.

(Dr. N. J. Pawar) (Dri Ac Nh Surylakar) Dr. D. YRegistvaryapeeth (Decharbeth Widyapeethity)

(Demento Pelleiversity)
Pimpri, Pune- 18.

# PPU

# Dr. D. Y. PATIL VIDYAPEETH, PUNE

(Deemed to be University)
(Re-accredited by NAAC with a CGPA of 3.62 on a four point scale at 'A' Grade)
(An ISO 9001:2015 and 14001:2015 Certified University)



Bye-Laws

#### Dr. D.Y. PATIL VIDYAPEETH, PUNE

(Deemed to be University)

(Re-accredited by NAAC with a CGPA of 3.62 on a four point scale at 'A' Grade) (An ISO 9001 : 2015 Certified University)

Dr. A. N. Suryakar Registrar

#### **NOTIFICATION**

WHEREAS in pursuance of the UGC (Institutions Deemed to be University), Regulations, 2019, the Board of Management is the principal organ of management and the apex executive body of the Institution Deemed to be University, with powers to make rules of the Institution Deemed to be University.

AND WHEREAS the Board of Management is the final decision making body of the Institute in respect of every matter of the Institution Deemed to be University, including in the academic, administrative, personnel, financial, developmental matters.

AND WHEREAS Bye-Laws No. 01/2015 to No.10/2015 of Dr. D. Y. Patil Vidyapeeth, Pune (Deemed to be University) have been approved by the Board of Management at its meeting held on 29th December, 2015.

AND WHEREAS UGC had published UGC (Institutions Deemed to be Universities) Regulations, 2016 on 11th July, 2016.

AND WHEREAS the Vidyapeeth had amended its Bye-Laws and the same were approved by the Board of Management at its meeting held on 27th December, 2018.

AND WHEREAS UGC had again published UGC (Institutions Deemed to be Universities) Regulations, 2019 on 20th February, 2019.

AND WHEREAS as per UGC Regulations, 2019, the Vidyapeeth has amended its Bye-Laws with modifications / insertions / replacement. These Bye-Laws were approved by the Board of Management at its meeting held on 12th April, 2019 vide its resolution No. BM-17-19.

- Bye Law No. 01/2015 is repealed and replaced by the Bye Law No. 01/2019
- Sub-clauses i.e. 2.2 (b), 2.2 (c), 2.2 (f) have been inserted in Bye Law No.02/2015.
- The sub-clauses i.e. 2.9.4 of IOAC Director / Coordinator, 2.9.5 of Director (Security & Vigilance) and 2.9.6 of Director (University Centre for Professional Education & Faculty Development) under Clause No. 2.09 i.e. SUCH OTHER OFFICERS OF THE VIDYAPEETH have been added in Bye Law No.02/2015, as per the Resolution No. BM-52-18 approved by the Board of Management at its meeting held on 27th December, 2018. IL VID

PUNE-18

Contd...2/-

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- Sub-clause i.e. 3.2. I of Promotion Policy has been inserted in Bye Law No.03/2015 as per the UGC Regulations on Minimum Qualifications for appointment of teachers and other academic staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2018.
- Sub clauses i.e. 4.23.1/2015 regarding "Composition of the Grievance Committee at Vidyapeeth Level" and 4.23.2/2015 regarding "Grievance Committee at Constituent College/Institute Level" of Bye Law No.04/2015 are repealed and replaced by 4.23.1/2018 and 4.23.2/2018 as per the Resolution No. BM-52-18 approved by the Board of Management at its meeting held on 27th December, 2018.
- Sub clause No. 4.23.3/2019 regarding University Student Grievance Redressal Committee and sub clause No.4.23.4/2019 regarding Institutional Student Grievance Redressal Committee have been inserted in Bye Law No.04/2015, as per UGC (Redress of Grievances of Students), Regulations 2019 dated 6th May, 2019, duly approved vide Resolution No. BM-33(vii)-19 by the Board of Management at its meeting held on 30th July, 2019.
- Sub-clauses i.e. 4.15 regarding "Inflection of major penalties without holding enquiry", 4.16 regarding "Disciplinary Authority" and 4.21 "Appeal" of Bye Law No.04/2015 have been modified as per the Resolution No. BM-17-19 approved by the Board of Management at its meeting held on 12th April, 2019.
- A note regarding admissions through NEET examinations has been inserted with reference to the Supreme Court Writ Petition No. 267/2017 dated 9<sup>th</sup> May, 2017 and subsequent a letter F.No. C-18018/21/2017-MEC dated, 13<sup>th</sup> June, 2017 received from Directorate General of Health Services (DGHS).
- Sub-clause i.e. 7.1.1(1.1) regarding "Procedure for Starting a New Academic Programme / Department" has been inserted in Bye Law No.07/2015.
- Sub-clause i.e. 9.1.24 regarding "providing photo copies of assessed theory answer books" has been inserted in Bye Law No.09/2015.
- Sub-clause i.e.9.1.9 regarding "Double Evaluation System" of Bye Law No.09/2015 has been modified.
- Sub-clause i.e. 9.1.25 regarding "National Academic Depository (NAD)" has been inserted in Bye Law No.09/2015.

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(Dr. A. N. Suryakar)
Registrar
Dr.D.Y.Patil Vidyapeeth
(Deemed to be University)
Pimpri, Pune-18: 28 28 28 28

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The following Bye-Laws will be useful to all the concerns.

Bye Law No.	Description
01/2019	Authorities of the Dr. D. Y. Patil Vidyapeeth, Pune
02/2015 (amended upto July 2019)	Officers of the Vidyapeeth
03/2015 (amended upto July 2019)	Qualifications, Experience, Mode of Selection,
	Power and Functions of the Academics Posts in
	Constituent Colleges/Institutes of the Vidyapeeth
04/2015 (amended upto July 2019)	Conduct of the Employees of the Vidyapeeth
05/2015	Service Laws (Employees-Contractuals)
06/2015 (amended upto July 2019)	Admissions
07/2015 (amended upto July 2019)	Academic and Administration of Students
08/2015	Academic Calendar of the Vidyapeeth
09/2015 (amended upto July 2019)	Examinations
10/2015	Disciplined Conduct of the Student

This will come into force with immediate effect.

(Dr. A. N. Suryakar)

Registrar REGISTRAR

#### Copy to:

- 1. PS to Chancellor for kind information of Hon'ble Chancellor, Dr. D.Y. Patil PEETH, PUNE. Vidyapeeth, Pune.
- 2. PS to Vice Chancellor for kind information of Hon'ble Vice Chancellor, Dr. D.Y. Patil Vidyapeeth, Pune.
- 3. Controller of Examinations, Dr. D. Y. Patil Vidyapeeth, Pune.
- 4. Finance Officer, Dr. D. Y. Patil Vidyapeeth, Pune.
- 5. Director (IQAC), Dr. D. Y. Patil Vidyapeeth, Pune.
- 6. All the Heads of the Institutes
- 7. Web Master for uploading on Website.





# Dr. D. Y. Patil Vidyapeeth, Pune

### **INDEX**

Bye Law No.		Description	Page No.
01/2019	AUTH	IORITIES OF THE Dr. D. Y. PATIL VIDYAPEETH, PUNE	1 to 27
	1.1	Board of Management	1
	1.2	Academic Council	6
	1.3	Planning and Monitoring Board	11
	1.4	Finance Committee	13
	1.5	Board of Examinations	17
	1.6	Faculties	21
	1.7	Board of Studies	24
02/2015	OFFI	CERS OF THE VIDYAPEETH	28 to 42
(amended	2.1	Chancellor	28
upto	2.2	Vice-Chancellor	28
July 2019)	2.3	Pro Vice Chancellor (Whenever Applicable)	31
	2.4	Registrar	31
	2.5	Finance Officer	32
	2.6	Controller of Examinations	33
	2.7	Dean / Director/Principal	35
	2.8	Head of the Department	36
	2.9	Such Other Officers of the Vidyapeeth	37
03/2015 (amended upto	POWI	LIFICATIONS, EXPERIENCE, MODE OF SELECTION, ER AND FUNCTIONS OF THE ACADEMIC POSTS IN STITUENT COLLEGES / INSTITUTES OF THE VIDYAPEETH	43 to 67
July 2019)	3.1	Qualifications and Experience of the Academic Posts in	43
		Constituent Colleges / Institutes of the Vidyapeeth	5
	3.2	Selection	43
04/2015	CONI	DUCT OF THE EMPLOYEES OF THE VIDYAPEETH	68 to 78
(amended	4.1	Employees' obligations	68
upto	4.2	Property of the Vidyapeeth	69
July 2019)	4.3	Unauthorized Possession of Goods	69
	4.4	Suspended Employees on the Premises	70
	4.5	Possession/Consumption of Intoxicating Drinks and Narcotics	70
	4.6	Participation in Politics and Elections	70





Bye Law No.		Description	Page No.
	4.7	Demonstrations	70
	4.8	Connection with Media of Mass Communication	70
		(Print or electronic etc.)	
	4.9	Unauthorized Communication of Information	70
	4.10	Unauthorized Publication of Official Documents	70
	4.11	Invention and patents	70
	4.12	Grounds for taking any disciplinary action against a employee	71
	4.13	Misconduct: The following is the illustrative list of acts of misconduct	71
	4.14	Penalties: The employee shall be liable to be punished on one or	73
		more of the acts of misconduct	
	4.15	Infliction of major penalties without holding enquiry	73
	4.16	Disciplinary Authority	73
	4.17	Procedure for inflicting penalties	74
	4.18	Suspension	74
	4.19	Enquiry	75
	4.20	Action on Enquiry Report	76
	4.21	Appeal	77
	4.22	Interpretation	77
	4.23	Grievance Redressal Mechanism	77
	4.24	Powers and Duties of the Grievance Committees	79
	4.25	Procedure for conduct of business at the meetings	79
05/2015	SERV	TCE LAWS (EMPLOYEES-CONTRACTUALS)	80 to 82
	5.1	Introduction	80
- -	5.2	Contractual services	80
	5.3	Term of Contract/ contractual period	80
	5.4	Contract of Service	80
	5.5	Duty hours	80
	5.6	Holidays	80
	5.7	Leave	80
	5.8	Service Conditions of Contractual appointments	80
06/2015	ADMISSIONS		83 to 90
(amended	6.1	Preamble	83
upto	6.2	Procedure for admission of the students in the Vidyapeeth	83
July 2019)	6.3	Eligibility Criteria for Admissions of the students in the Vidyapeeth	84



Bye Law No.		Description	Page No.
	6.4	Documents requisite for admission	86
	6.5	Counselling	87
	6.6	Registration of provisionally admitted students	88
	6.7	Fees structure for various Academic Programmes of the Vidyapeeth	89
07/2015	ACADEMICS AND ADMINISTRATION OF STUDENTS		91 to 98
(amended	7.1	Procedure for Starting a New Academic Programme / Department	91
upto July 2019)	7.2	Conditions regarding institution of Award of Scholarships,	93
July 2019)		Prizes and Medals	
	7.3	Vidyapeeth Students' Council & Students' Council for each	95
		constituent college/ Institute	-
08/2015	ACAL	DEMIC CALENDAR OF THE VIDYAPEETH	99
09/2015 (amended upto	EXAMINATIONS		100 to 138
	9.1	Rules for Conduct of Examinations of the Vidyapeeth	100
July 2019)	9.2	Convocation	133
10/2015	DISCIPLINED CONDUCT OF THE STUDENT		139 to 143
	10.1	Obligations of the Student	139
	10.2	Misconduct and/or Indiscipline	139
	10.3	Prohibition of Ragging	140
	10.4	Attendance & Progress	141
	10.5	Payment of Tuition and Other Fees	141
	10.6	Rules relating to Vidyapeeth Examinations	141
	10.7	Rules for Hostel Students	142
	10.8	Powers of Competent Authority	143
	10.9	Procedure for Inquiry	143
	10.10	Appeal	143

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#### Bye law No. 04/2015: (amended upto July 2019)

#### CONDUCT OF THE EMPLOYEES OF THE VIDYAPEETH

This bye law shall be applicable to all employees: Teaching and Non-teaching working in the Vidyapeeth including its constituent College/Institute.

The sub clauses i.e. 4.23.1/2015 regarding Composition of the Grievance Committee at Vidyapeeth Level and 4.23.2/2015 regarding Grievance Committee at Constituent College/Institute Level are repealed and replaced by 4.23.1/2018 and 4.23.2/2018 vide Resolution No. BM-52-18 approved by the Board of Management at its meeting held on 27th December, 2018 and Notification dated 10th January, 2019.

The sub clause i.e. No. 4.23.3/2019 regarding Composition of the University Student Grievance Redressal Committee (USGRC) and No. 4.23.4/2019 regarding Institutional Student Grievance Redressal Committee (ISGRC) are inserted in as per UGC (Redress of Grievances of Students), Regulations 2019 dated 6<sup>th</sup> May, 2019, duly approved vide Resolution No. BM-33 (vii)-19 by the Board of Management at its meeting held on 30<sup>th</sup> July 2019 and Notification dated 11<sup>th</sup> September 2019.

The sub-clauses i.e. 4.15 regarding Inflection of major penalties without holding enquiry, 4.16 regarding Disciplinary Authority and 4.21 Appeal have been modified as per the amendments in Bye-Laws approved by the Board of Management vide its Resolution No. BM-17-19 at its meeting held on 12<sup>th</sup> April, 2019 and Notification dated 6<sup>th</sup> May, 2019.

#### 4.1 EMPLOYEES' OBLIGATIONS:

The employee of the Vidyapeeth shall be at the disposal of the Vidyapeeth for full time and shall serve in such capacity and at such places as he/she may, from time to time, be so directed.

- i) Every employee shall: -
  - (a) conform and abide by the provisions in the Bye-laws, circulars of the Vidyapeeth and regulations, directives and decisions of the competent authorities. He shall also observe, comply with and obey all orders and instructions which may from time to time be given to him/her by the officer under whose jurisdiction, superintendence or control, he/she has been placed from time to time.
  - (b) maintain at all times absolute dignity, integrity and devotion to duty and loyalty to the Vidyapeeth and shall do nothing that would or is likely to tarnish the image or reputation of the Vidyapeeth, or adversely affect its interests.
  - (c) carry out duties and responsibilities assigned to him/her post and shall also carry out any other duties that may be assigned to him/her from time to time.
- ii) No employee shall: -
  - (a) use his/her position or influence, directly or indirectly, to secure employment for any person in the Vidyapeeth including its constituents, college or institute.
  - (b) bring or attempt to bring any influence to bear upon the appointing authority or the concerned head of the department to further his/her personal interest in the Vidyapeeth including its constituent college or institute.



- (c) misuse or use for personal benefit, gain or profit, the amenities facilities, infrastructure or intellectual, property belonging to the Vidyapeeth including its constituents, college or institute.
- (d) demand or accept any gratis payments or any other favour from any person or organization that could directly or indirectly affect reputation or functions of the Vidyapeeth.
- (e) Without prior permission, cause to or disclose / divulge or use any information gained, in the course or connected with his / her employment, in the Vidyapeeth for personal gains / profit or for advantage for himself or any other person.
- (f) engage directly or indirectly in any trade, business, vocation or undertake any other employment, without prior permission of the competent authority, provided, the same is not detrimental to the interest of the Vidyapeeth.
- (g) engage in or conduct private tuitions or coaching,
- (h) indulge in an act of plagiarism in any form.
- (i) Indulge in any criminal act where he/she is arrested or prosecuted.
- (j) Propagate/indulge in any sectarian activity or cause to disturb communal harmony.
- (k) discriminate against any person on the grounds of religion, caste, gender, language.
- (1) indulge in or encourage any form of malpractice.

#### 4.2 PROPERTY OF THE VIDYAPEETH:

Every employee shall -

- i) take due care of the property, materials, instruments, equipments, machines, furniture, cash, etc. of the Vidyapeeth and shall take all reasonable precautions to safeguard them against accident, damage, loss, pilferage, etc. Where damage or loss is attributable to the intentional mishandling or misuse by an employee, the employee shall be liable for disciplinary action as may be deemed appropriate by the competent authority. Besides, the competent authority shall be entitled to recover the assigned / assessed value of such breakage / damage or loss from the employee.
- ii) take appropriate precautions against hazards and shall make proper use of safety devices and preventive measures, as prescribed and provided by the administration.
- iii) observe cannons of financial expenditure and inventory control, wherever applicable.

#### 4.3 UNAUTHORIZED POSSESSION OF GOODS:

If the employee is found in unauthorized possession of any goods, equipment, implements, articles, materials, etc., which are in use in the Vidyapeeth, or kept in stock, and are not normally carried by the said employee, he/she shall be deemed to have got into possession of such goods by improper means. The concerned authority may confiscate; such goods; and such unauthorized possession shall attract disciplinary action as well as any other action as deemed fit by the Competent Authority. Authorized possession should be reasoned and authenticated.

(Dr. A. N. Suryakar) Registrar

6



#### 4.4 SUSPENDED EMPLOYEES ON THE PREMISES:

The employee, who has been suspended or deemed to have been suspended, shall not enter the premises of the Vidyapeeth without prior permission of the competent authority, unless otherwise invited for the enquiry.

However, the premises does not include residential premises.

#### 4.5 POSSESSION/CONSUMPTION OF INTOXICATING DRINKS AND NARCOTICS

No employee shall possess or be under the influence of intoxicating drinks/drugs while on duty and on campus.

#### 4.6 PARTICIPATION IN POLITICS AND ELECTIONS

No employee shall, without prior permission, in writing, by the competent authority, be actively associated with any political party or any organization, which takes part in politics; or which has got political implications nor shall he/she take part in or assist in any other manner any political movement or activity (such as election and demonstration, strikes, etc).

#### 4.7 **DEMONSTRATIONS**

No employee shall organize or participate in any demonstration, agitation any nature whatsoever like for social, political or religious cause, academic in the premises of the Vidyapeeth.

# 4.8 CONNECTION WITH MEDIA OF MASS COMMUNICATION (PRINT OR ELECTRONIC ETC.)

No employee shall participate in a radio/television programme, give speech to public, or contribute any article or write any letter to any newspaper or periodical or publish any pamphlet anonymously, pseudonymously or in his own name, which is detrimental to the image/interests of the Vidyapeeth. However the employee may be allowed to participate in radio, TV programmes or contribute article for academic purpose with prior permission.

#### 4.9 UNAUTHORIZED COMMUNICATION OF INFORMATION

Except in accordance with any general or special order of the Vidyapeeth otherwise in bona fide performance of the duties assigned to him/her, no employee shall communicate directly or indirectly, any official document or information to any employee, any other person or organisation.

#### 4.10 UNAUTHORIZED PUBLICATION OF OFFICIAL DOCUMENTS

While in service of the Vidyapeeth or after retirement, resignation, dismissal or discharge, no employee shall make public or publish, any documents, papers or information, which might have come into his / her possession in his/her official capacity, without obtaining prior written permission from the Vidyapeeth

#### 4.11 INVENTION AND PATENTS

No employee shall, without the prior consent of the respective competent authority, either during his/her service or thereafter, apply for patent or exclusive privilege in respect of any invention/discovery made by him/her while performing his duties in his/her service in the Vidyapeeth . Every publication/patent and research shall be duly acknowledged.



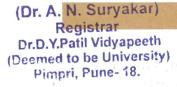
#### 4.12 GROUNDS FOR TAKING ANY DISCIPLINARY ACTION AGAINST A EMPLOYEE:

Disciplinary action shall be taken or punishment inflicted on the employee in service on one or more of the following grounds:

- (I) Misconduct
- (ii) Act or omission involving moral turpitude
- (iii) Wilful and persistent neglect of duty
- (iv) Engaging in/and or conducting private tuitions / coaching.

# 4.13 MISCONDUCT: THE FOLLOWING IS THE ILLUSTRATIVE LIST OF ACTS OF MISCONDUCT:

- (i) Insubordination or disobedience of any lawful and reasonable order of the superior.
- (ii) Commission of any act subversive of discipline or good behaviour.
- (iii) Participation in any strike, Dharne, candle march, Gherao, any form of protest.
- (iv) Committing theft, fraud, dishonesty, embezzlement, misappropriation.
- (v) Negligence or subversive or unethical practices, causing damage to or loss of property.
- (vi) Demanding or accepting or giving bribe or any illegal gratification whatsoever.
- (vii) Absence without leave for more than thirty consecutive days or persistent absence from duty without leave.
- (viii) Habitual late attendance or habitually leaving work before time or absence from place of work.
- (ix) Negligence or neglect of work i.e. dereliction or failure to discharge the duties assigned to him, wilful and persistent neglect of duty.
- (x) Accepting employment for any consideration inside or outside the Vidyapeeth / Hospital / establishment/ and/ or under the constituent colleges/ institutes or under any person without the approval of the appointing authority.
- (xi) Drunkenness, fighting, riotous, disorderly or indecent behaviour in the premises of the Vidyapeeth.
- (xii) Giving false evidence or statement in any enquiry held by the Vidyapeeth, by college or by institute or in a case conducted in a Court of Law in which the Vidyapeeth is a party.
- (xiii) Travelling or carrying unauthorized passengers, materials in any of the vehicles of the Vidyapeeth.
- (xiv) Collection or canvassing for collection of any money for any purpose within the Vidyapeeth premises without prior permission.
- (xv) Smoking or consumption of tobacco in any form on the premises of the Vidyapeeth.
- (xvi) Sleeping while on duty.
- (xvii) Distributing or exhibiting handbills, pamphlets or posters inside the premises of the





- Vidyapeeth without prior permission of the head of the respective departments or the establishment.
- (xviii) Holding or participating in any unauthorized meeting within the premises of the Vidyapeeth.
- (xix) Gambling or canvassing for sale of any commodities, chit funds, lottery tickets, coupons or shares or any other financial instruments, etc. within the premises of the Vidyapeeth.
- (xx) Any criminal offence, resulting into conviction in any court of law.
- (xxi) Making false statements on matters germane to his/her employment in the Vidyapeeth or wilful suppression of facts at the time of employment or during the course of service in Vidyapeeth.
- (xxii) Threatening, intimidating, coercing, assaulting and quarrelling with any person in the premises of the Vidyapeeth.
- (xxiii) Using of foul or abusive language or misbehaving.
- (xxiv) Refusing to accept memorandum or charge sheet or any other communication issued by the superior or Disciplinary Authority.
- (xxv) Using the facilities and properties of the Vidyapeeth without authority for personal gains.
- (xxvi) Preventing the Vidyapeeth employees/officers/superiors either from entering or coming out of the premises, e.g. Dhrane/ Gherao.
- (xxvii) Preventing ingress or egress of the material or equipment and manpower of the Vidyapeeth.
- (xxviii) Punching of attendance card or forging the signature of another employee in the attendance register.
- (xxix) Tampering with any of the records of the Vidyapeeth.
- (xxx) Slowing down in performance of work or instigating other employees to slow-down or adopting work-to-rule practices.
- (xxxi) Acts of immorality or involving moral turpitude within the premises of the Vidyapeeth or outside.
- (xxxii) Unauthorized occupation/illegal or immoral use of the premises of the Vidyapeeth.
- (xxxiii) Not wearing uniform, if specified, while on duty.
- (xxxiv) Refusal to work beyond the stipulated period of work or to work on holidays when specifically instructed to do so by the head of the department or establishment.
- Incompetence shall include failure to keep his/ her knowledge up-to-date inspite of repeated written instructions in that behalf and despite the availability of requisite facilities and failure to complete the assigned work by the competent authority because of inability.
- (xxxvi) Possessing of unlicensed weapons, dangerous or illicit drugs.



- (xxxvii) Sexual harassment of co-employee, student, patient, person accompanying patient, party or any other person who would be involved with the Vidyapeeth including unwelcome sexually detrimental behaviour (whether directly or by implication) as (a) Physical contact and advances (b) A demand or request for sexual favours (c) Sexually colored remarks (d) Showing pornography (e) Any other unwelcome physical, verbal or nonverbal conduct of sexual nature.
- (xxxviii) Participating in any activity prejudicial to the interests of the Vidyapeeth.
- (xxxix) Shall include breach of the prescribed terms and conditions of service and violation of the provisions of the Act, Bye- laws, circulars, guidelines, directives, etc. or standing orders of the Vidyapeeth, rules and regulations relating to the duties and responsibilities of employees and violation of code of conduct.

# 4.14 PENALTIES: THE EMPLOYEE SHALL BE LIABLE TO BE PUNISHED ON ONE OR MORE OF THE ACTS OF MISCONDUCT.

Without prejudice to the provisions of these Rules, an employee guilty of misconduct as indicated as above, shall be liable to any of the following penalties, namely;

#### 4.14.1 Minor Penalties:

- (a) Caution, censure or reprimand.
- (b) Withholding or stoppage of increment without cumulative effect.
- (c) Withholding promotion for a period not exceeding one year.
- (d) Recovery from pay of the amount as may be due on account of any pecuniary loss caused to the Vidyapeeth, by negligence or breach of orders.
- (e) Fine, not exceeding an amount equivalent to 15 day's basic salary.

#### 4.14.2 Major Penalties:

- (a) Withholding or stoppage of increment with cumulative effect.
- (b) Demotion to a lower grade or reduction in post or to a lower stage in a time scale of pay for a specified period or permanent reduction in rank.
- (c) Termination, by way of removal, dismissal from service.

#### 4.15 INFLICTION OF MAJOR PENALTIES WITHOUT HOLDING ENQUIRY:

If the employee is convicted under criminal prosecution, in respect of crime involving moral turpitude, the Disciplinary Authority shall issue an order of dismissal without holding an enquiry.

#### **4.16 DISCIPLINARY AUTHORITY:**

The power to inflict penalties shall vest with the Disciplinary Authority.

The Disciplinary Authority shall be the Registrar of the Vidyapeeth for Non-teaching employees of the Vidyapeeth & the Dean/Director/Principal of the concerned Constituent Colleges/Institutes to conduct or carry out enquiry for their employees (Teaching & Non-teaching). Such person shall conduct an enquiry as per the bye laws and shall have the power to inflict penalties.



(Dr. A. N. Suryakar)

Registrar
Dr.D.Y.Patil Vidyapeeth
(Deemed to be University)
Pimpri, Pune- 18.

73



#### 4.17 PROCEDURE FOR INFLICTING PENALTIES:

On a complaint being received against an employee or suo moto, the competent authority shall first determine itself or committee / enquiry officer appointed for the purpose, if there is a prima facie case against the employee for infliction of penalty/penalties.

- The employee, who has committed any act(s) of misconduct, shall be served a show cause notice as to why suitable action be not taken against him/her. The employee shall be given an opportunity to explain and answer the charges leveled against him/her within the stipulated period which shall not be less than 15 days. If the Disciplinary Authority is satisfied with the explanation, the charges against him/her shall be dropped.
- ii) If the Disciplinary Authority/authorized person, is satisfied that the misconduct committed by the employee is of minor nature, the Disciplinary Authority shall serve him/her another notice as to why the minor penalty should not be inflicted on him/her, and on receipt of the explanation, within the stipulated period, which shall not be less than 15 days, award the minor penalty.
- iii) If the Disciplinary Authority is of the opinion that the misconduct is of serious nature, which if proved, would attract major penalty, he/she shall appoint an Enquiry Committee / Enquiry Officer.
- iv) The Disciplinary Authority shall appoint another person as the Presenting Officer to present the case in support of the charges, before the Enquiry Committee / Enquiry Officer. The employee may be permitted to defend himself/herself.

#### 4.18 SUSPENSION:

- i) If the Disciplinary Authority is of the opinion that,
  - (a) the employee, if proved guilty, is likely to be awarded any of the major penalties,
  - (b) the employee is likely to tamper with the record or evidence,
  - (c) the employee is likely to threaten those who are to depose against him/her, in the enquiry, and thus vitiate the enquiry, in any manner,

The Disciplinary Authority shall put the employee under suspension, immediately after serving the order of suspension to the employee.

- ii) The employee under suspension shall be entitled to subsistence allowance at the rate of 50% of his salary and the allowance(s) admissible thereon for the period of initial six months.
- iii) If the enquiry is prolonged beyond this period, and the delay is entirely attributable to the employee, the subsistence allowance shall be reduced to 25% of his salary and allowance(s) thereon. If the enquiry is prolonged beyond the period, otherwise, the subsistence allowance shall be increased to 75% of his salary and allowance(s) thereon.
- iv) The employee who is detained in police custody, whether on criminal charge or otherwise for a period exceeding 48 hours, shall be deemed to have been suspended, with effect



from the time of detention by an order of the Appointing Authority, till the order is revoked. The employee shall be entitled to subsistence allowance at the rate of Re. 1/- per

- The employee under suspension shall not be entitled to leave the headquarters and to v) accept any employment during the suspension. If the employee tenders his / her resignation while under suspension, the same shall not be accepted or the acceptance of resignation is left to the discretion of the Disciplinary Authority.
- vi) If the employee, who has been under suspension, is proved not guilty, the period of suspension shall be treated as "on duty" and he/she shall be paid the difference between subsistence allowance, and the salary payable to him, had he not been suspended.
- vii) If the employee, who has been under suspension, is found guilty and is inflicted with minor penalty/ies, the period of suspension shall be regulated as per the provisions above.
- viii) If the employee, who has been under suspension, is found guilty and is inflicted with major penalty/ies, the period of suspension shall be regulated as follows:
  - In case of withholding or stoppage of increment with cumulative effect, the period of suspension shall be treated as the period of leave on half pay.
  - (b) In case of reduction in rank, the period of suspension shall be treated as the period of leave on half pay.
  - In case of termination, dismissal or compulsory retirement from service, the employee shall be deemed to have been absent from duty for the period of suspension and shall not be entitled to any remuneration for such period.

#### 4.19 ENQUIRY:

- 4.19.1 The Disciplinary Authority shall prepare a charge-sheet containing statement of specific allegations, the supporting documents and the list of witnesses to be examined, and supply the same to the employee, to the Enquiry Committee / Enquiry Officer and to the Presenting Officer.
- 4.19.2 The Enquiry Committee / Enquiry Officer shall seek explanation of the employee as to whether he/she accepts the allegations, or otherwise, and the reasons thereof.
- If the employee accepts the allegations, the Enquiry Committee / Enquiry Officer shall 4.19.3 record his statement and prepare a report thereof and shall submit the same to the Disciplinary Authority.
- In case the employee denies any of the allegations, the Enquiry Committee / Enquiry 4.19.4 Officer shall, within fifteen days, hold a meeting and advise Presenting Officer to substantiate the allegations with the help of the documents and of the witnesses by examining them. The Enquiry Committee / Enquiry Officer shall record the statement of witnesses ad verbatim. The employee shall be entitled to refute the allegations, based on documents, by presenting the evidence to that effect. The employee shall be entitled to cross-examine the witnesses examined by the Presenting Officer.



(Dr. A. N. Suryakar) Registrar



- 4.19.5 The employee, who has denied the statement of allegations, shall furnish documentary and other evidence and list of witnesses that he/she proposes to examine in order to defend himself/herself with copies to the Presenting Officer.
- 4.19.6 The employee shall be entitled to lead documentary evidence and witnesses in his/her support. The Enquiry Committee / Enquiry Officer shall provide adequate opportunity to substantiate the evidence provided by him/her and examine the witnesses. The Presenting Officer shall be entitled to state his opinion on the documentary evidence laid by the employee and cross examine the witnesses.
- 4.19.7 The member/s of the Enquiry Committee / Enquiry Officer, the Presenting Officer and the employee shall be entitled to re-examine the witnesses.
- 4.19.8 In case the employee fails to attend the enquiry without giving in writing specific reason thereof, the enquiry may at the discretion of the Enquiry Committee / Enquiry Officer be conducted ex-parte.
- 4.19.9 The employee shall be entitled to depose himself before the Enquiry Committee / Enquiry Officer and the Presenting Officer shall be entitled to cross-examine him. The employee may submit his say in the form of an affidavit.
- 4.19.10 The Enquiry Committee / Enquiry Officer shall provide adequate opportunity to both the Presenting Officer and the employee and conclude the enquiry only after the Presenting Officer and the employee rest their arguments.
- 4.19.11 The Enquiry Committee / Enquiry Officer shall prepare a detailed report by evaluating the evidence and the witnesses produced before it. The report shall contain as to which of the allegations are proved or otherwise.

#### **4.20 ACTION ON ENQUIRY REPORT:**

- 4.20.1 The Disciplinary Authority shall evaluate the Enquiry Report. If the Disciplinary Authority is of the opinion that the employee should be inflicted with any of the minor penalties, he shall issue a show-cause notice to the employee as to why such penalties be not inflicted on him/her. On receipt of reply of the employee on such show-cause notice, the Disciplinary Authority shall determine the quantum of punishment.
- 4.20.2 If the Disciplinary Authority is of the opinion that the employee deserves any of the major penalties, he shall consider the enquiry report.
- 4.20.3 If, the Disciplinary Authority decides to inflict any of the major penalties, he shall issue a show-cause notice to the employee along with the copy of the Enquiry Report. On receipt of explanations of the employee the Disciplinary Authority shall decide the quantum of punishment.
- 4.20.4 The Disciplinary Authority shall ordinarily not inflict a penalty more severe than that recommended by the Enquiry Committee / Enquiry Officer. The Disciplinary Authority shall also specify in his order the manner in which the period of suspension of the employee be regulated or otherwise.



#### 4.21 APPEAL

- i) The employee aggrieved by the decision of the authorities may prefer an appeal in the Appellate Authority.
- ii) The Appellate Authority shall be the Vice Chancellor
- iii) The aggrieved party shall prefer an appeal to the Appellate Authority within 30 days from the date of receipt of decision of the Disciplinary Authority.

#### 4.22 INTERPRETATION

If any question/difference of opinion arises relating to the interpretation of these Conduct Rules, it shall be referred to the Vice -Chancellor, whose decision thereon shall be final and binding.

#### 4.23 GRIEVANCE REDRESSAL MECHANISM

There shall be Grievance Redressal Mechanism at the Vidyapeeth level and constituent college / institute level. It shall consist of Grievances Committees.

#### 4.23.1 (4.23.1/2018) Grievance Committee at the Vidyapeeth Level

#### **Composition:**

The Grievance Redressal Committee shall be constituted by the Vice Chancellor of the university consisting of:

- A senior Professor of the University Chairman
- Three senior teachers drawn from the affiliating colleges on rotation basis, to be nominated by the Vice Chancellor Members
- A student representing the college where the grievance has occurred to be nominated based on academic merit, by the concerned college Special Invitee as and when required provided grievance is of student.

#### 4.23.2 (4.23.2/2018) Grievances Committee at the constituent college / institute level

#### **Composition:**

The Vice Chancellor of the University shall constitute a **Grievance Redressal Committee** consisting of **Five** members for an individual College/Institute or a group of Colleges/Institutes keeping in view the location of the College(s)/Institute(s).

#### **Composition:**

- Dean / Director/Principal of the college / institute designated as Chairperson.
- Three senior teachers drawn from the affiliating colleges on rotation basis, to be nominated by the Vice Chancellor Members
- A student representing the college where the grievance has occurred to be nominated based on academic merit, by the concerned college – Special Invitee as and when required provided grievance is of student.

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Dr.D.Y.Patil Vidyapeeth
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77